SUB-ORIGINATOR ONBOARDING CHECKLIST

Let's get you set up.

Everything we need to get your setup underway.

Getting your Finsterl sub-origination setup underway is straightforward. Provide the following business and loan writer information and Finsterl can begin preparing the applicable agreements, appointments, systems and onboarding process.

If you need assistance obtaining or completing any of the requirements below, speak with Finsterl Finance and we can guide you through the process.

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01 YOUR BUSINESS

BUSINESS DETAILS

- [ ] ABN
- [ ] Confirmation of business structure
- [ ] Details of all key stakeholders and relevant business personnel
- [ ] Confirmation of operating model — Finsterl Finance branded or white-label / own brand
- [ ] Primary contact email address
- [ ] Primary contact phone number
- [ ] Bank account details for commission payments

A copy of the top section of an appropriate bank statement confirming the account name, BSB and account number is acceptable where required. Please do not send unnecessary transaction history.

02 EACH PROPOSED LOAN WRITER

Please provide the following for each individual who is proposed to write finance under the arrangement.

- [ ] Police check
- [ ] Bankruptcy check
- [ ] Front of driver's licence
- [ ] Back of driver's licence
- [ ] Short résumé / CV
- [ ] Email address
- [ ] Phone number

Finsterl can provide guidance regarding how to obtain the required checks.

03 MEMBERSHIP & INSURANCE

- [ ] AFCA membership
- [ ] Professional Indemnity Insurance
- [ ] Public Liability Insurance

NEED HELP WITH INSURANCE?

Finsterl Finance can discuss the option of adding an eligible sub-originator to Finsterl's existing corporate insurance policy, subject to availability, insurer requirements, approval and the applicable arrangement. Please speak with Finsterl Finance before arranging separate cover if you would like to explore this option.

AFCA SUPPORT

Finsterl Finance can provide guidance and support around establishing the required AFCA membership and completing the relevant onboarding steps.

04 HOW WILL YOU OPERATE?

- [ ] Finsterl Finance branded sub-originator
- [ ] White-label / own-brand operation

IF OWN BRAND — WHERE APPLICABLE

- [ ] Confirm proposed business / trading name
- [ ] Provide existing logo / brand assets if available
- [ ] Provide existing website details if applicable

If you're starting your brand from scratch, that's okay. Finsterl can provide guidance around cost-effective branding, website development and establishing an online presence.

05 — WHAT HAPPENS NEXT?

Once the required information has been received.

01

REVIEW

Finsterl reviews the supplied business and loan writer information.

02

AGREEMENT

The applicable Sub-Origination Agreement is prepared, including the agreed commercial arrangements and relevant responsibilities.

03

EXECUTION

Relevant parties review and execute the agreement.

04

APPOINTMENTS & ACCESS

Required representative appointments, lender/access processes and other applicable onboarding requirements are progressed.

05

SYSTEM SETUP

Finsterl configures the broker CRM, compliance environment and relevant workflows.

06

TRAINING

The sub-originator receives relevant system, compliance, process and broking onboarding.

07

READY TO ORIGINATE

Finance origination can commence once the applicable requirements, appointments, access and approvals have been satisfied.

YOUR STARTER CHECKLIST

- ✓ Business details
- ✓ Loan writer identification
- ✓ Police & bankruptcy checks
- ✓ AFCA
- ✓ PI & public liability insurance
- ✓ Payment details
- ✓ Operating model confirmed

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FINSTERL TAKES IT FROM THERE.

Once we have the required information, our team can begin progressing the agreement, systems, compliance environment and onboarding process.

READY?

Send your completed onboarding information to Finsterl Finance and we'll begin progressing your setup.

1300 508 827
info@finsterlfinance.com.au
14/17 Rivergate Place, Murarrie QLD 4172

IMPORTANT NOTE

Onboarding requirements may vary depending on the proposed business structure, number of loan writers, operating model, regulatory requirements, insurer requirements, lender/accreditation requirements and individual circumstances. Finsterl Finance will advise if any additional information is required during onboarding.